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PTO/SB/61 (10-00)

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# POWER OF ATTORNEY OR AUTHORIZATION OF AGENT

Application Number	Unassigned
Filing Date	Herewith
First Named Inventor	Glenn McGall
Group Art Unit	Unassigned
Examiner Name	Unassigned
Attorney Docket Number	18547-042110

I hereby appoint:

☒ Practitioners at Customer Number

AND

☒ Practitioner(s) named below:

20350

Place Customer  
Number Bar Code  
Label here

Name	Registration Number
Vern Norviel	32,483
Philip L. McGarrigle	31,395
Wei Zhou	44,419
Alan B. Sherr	42,147

as my/our attorney(s) or agent(s) to prosecute the application identified above, and to transact all business in the Patent and Trademark Office connected therewith.

Please change the correspondence address for the above-identified application to:

☒ The above-mentioned Customer Number.

OR

☐ Firm or  
Individual Name

Address

Address

City

State

ZIP

Country

Telephone

Fax

I am the:

☐ Applicant/Inventor.

☒ Assignee of record of the entire interest. See 37 CFR 3.71.

Certificate under 37 CFR 3.73(b) is enclosed. (Form PTO/SB/98).

## SIGNATURE of Applicant or Assignee of Record

Name	Vern Norviel, Sr. Vice President + General Counsel
Signature	<i>[Signature]</i>
Date	6/1/01

NOTE: Signatures of all the inventors or assignees of record of the entire interest or their representative(s) are required. Submit multiple forms if more than one signature is required, see below.

☒ \*Total of 1 form is submitted.

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SF 1222747 v1

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**DECLARATION FOR UTILITY OR  
DESIGN  
PATENT APPLICATION  
(37 CFR 1.63)**

☒ Declaration Submitted With Initial Filing **OR** ☐ Declaration Submitted after Initial Filing (surcharge (37 CFR 1.16 (e)) required)

Attorney Docket Number	18547-042110
First Named Inventor	Glenn McGall et al.
<b>COMPLETE IF KNOWN</b>	
Application Number	Unassigned
Filing Date	Herewith
Group Art Unit	Unassigned
Examiner Name	Unassigned

As a below named inventor, I hereby declare that:

My residence, post office address, and citizenship are as stated below next to my name.

I believe I am the original, first and sole inventor (if only one name is listed below) or an original, first and joint inventor (if plural names are listed below) of the subject matter which is claimed and for which a patent is sought on the invention entitled:

**NEW METHODS FOR ARRAY PREPARATION USING SUBSTRATE ROTATION**

the specification of which (Title of the invention)

☒ is attached hereto

OR

☐ was filed on (MM/DD/YYYY) [ ] as United States Application Number or PCT International

Application Number [ ] and was amended on (MM/DD/YYYY) [ ] (if applicable).

I hereby state that I have reviewed and understand the contents of the above identified specification, including the claims as amended specifically referred to above.

I acknowledge the duty to disclose information which is material to patentability as defined in 37 CFR 1.56, including for continuation-in-part applications, material information which became available between the filing date of the prior application and the national or PCT international filing date of the continuation-in-part application.

I hereby claim foreign priority benefits under 35 U.S.C. 119(a)-(d) or 385(b) of any foreign application(s) for patent or inventor's certificate, or 385(a) of any PCT International application which designated at least one country other than the United States of America, listed below and have also identified below, by checking the box, any foreign application for patent or inventor's certificate, or of any PCT International application having a filing date before that of the application on which priority is claimed.

Prior Foreign Application Number(s)	Country	Foreign Filing Date (MM/DD/YYYY) Country	Priority Not Claimed	Certified Copy Attached?	
			<input type="checkbox"/>	YES	NO
			<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
			<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
			<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>

☐ Additional foreign application numbers are listed on a supplemental priority data sheet PTO/SB/02B attached hereto.

I hereby claim the benefit under 35 U.S.C. 119(e) of any United States provisional application(s) listed below.

Application Number(s)	Filing Date (MM/DD/YYYY)	<input type="checkbox"/> Additional provisional application numbers are listed on a supplemental priority data sheet PTO/SB/02B attached hereto.
60/209,411	08/01/00	

[Page 1 of 3]

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**DECLARATION — Utility or Design Patent Application**

Direct all correspondence to:		<input checked="" type="checkbox"/> Customer Number or Bar Code Label	20350	OR	<input type="checkbox"/> Correspondence address below
Name	William B. Kezer				
Address					
Address					
City			State	ZIP	
Country	Telephone		Fax		
	925-472-5000		925-472-8895		
I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true, and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under 18 U.S.C. 1001 and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.					
NAME OF SOLE OR FIRST INVENTOR:		<input type="checkbox"/> A petition has been filed for this unsigned inventor			
Given Name	Glenn		Family Name or Surname	McGall	
Inventor's Signature				Date	5/17/01
Residence: City	State	Country	Citizenship		
Mountain View	CA	USA	CANADA		
Mailing Address		750 No. Shoreline Blvd., #30			
Mailing Address					
City	State	ZIP	Country		
Mountain View	CA	94043	USA		
NAME OF SECOND INVENTOR:		<input type="checkbox"/> A petition has been filed for this unsigned inventor			
Given Name	Lisa		Family Name or Surname	Kajisa	
Inventor's Signature				Date	5/17/01
Residence: City	State	Country	Citizenship		
San Jose	CA	USA	USA		
Mailing Address		4644 Doyle Court			
Mailing Address					
City	State	ZIP	Country		
San Jose	CA	95129	USA		
<input checked="" type="checkbox"/> Additional inventors are being named on the 1 supplemental Additional Inventor(s) sheet(s) PTO/SB/02A attached hereto.					

[Page 2 of 3]

SF 1222719 v1

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PTO/SB/02A (10-00)

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## DECLARATION

ADDITIONAL INVENTOR(S)  
Supplemental Sheet

Name of Additional Joint Inventor, if any:

☐ A petition has been filed for this unsigned inventor

Given Name (first and middle (if any))

Family Name or Surname

Bradley, A.

Kreger

Inventor's  
Signature

Date

Residence: City

Elk Grove

State

CA

Country

USA

Citizenship USA

Mailing Address

8506 Pinedrops Court

Mailing Address

City

Elk Grove

State

CA

ZIP

95624

Country USA

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[Page 3 of 3]

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**DECLARATION FOR UTILITY OR  
DESIGN  
PATENT APPLICATION  
(37 CFR 1.63)**

☒ Declaration  
Submitted  
With Initial  
Filing

OR

☐ Declaration  
Submitted after Initial  
Filing (surcharge  
(37 CFR 1.16 (e))  
required)

Attorney Docket Number 18547-042110

First Named Inventor Glenn McGall et al.

**COMPLETE IF KNOWN**

Application Number Unassigned

Filing Date Herewith

Group Art Unit Unassigned

Examiner Name Unassigned

As a below named inventor, I hereby declare that:

My residence, post office address, and citizenship are as stated below next to my name.

I believe I am the original, first and sole inventor (if only one name is listed below) or an original, first and joint inventor (if plural names are listed below) of the subject matter which is claimed and for which a patent is sought on the invention entitled:

**NEW METHODS FOR ARRAY PREPARATION USING SUBSTRATE ROTATION**

the specification of which (Title of the invention)

☒ is attached hereto

OR

☐ was filed on (MM/DD/YYYY)

as United States Application Number or PCT International

Application Number

and was amended on (MM/DD/YYYY)

(if applicable).

I hereby state that I have reviewed and understand the contents of the above identified specification, including the claims as amended specifically referred to above.

I acknowledge the duty to disclose information which is material to patentability as defined in 37 CFR 1.56, including for continuation-in-part applications, material information which became available between the filing date of the prior application and the national or PCT international filing date of the continuation-in-part application.

I hereby claim foreign priority benefits under 35 U.S.C. 119(a)-(d) or 365(b) of any foreign application(s) for patent or inventor's certificate, or 365(a) of any PCT international application which designated at least one country other than the United States of America, listed below and have also identified below, by checking the box, any foreign application for patent or inventor's certificate, or of any PCT international application having a filing date before that of the application on which priority is claimed.

Prior Foreign Application Number(s)	Country	Foreign Filing Date (MM/DD/YYYY) Country	Priority Not Claimed	Certified Copy Attached?	
				YES	NO
			<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
			<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
			<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
			<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>

☐ Additional foreign application numbers are listed on a supplemental priority data sheet PTO/SB/02B attached hereto.

I hereby claim the benefit under 35 U.S.C. 119(e) of any United States provisional application(s) listed below.

Application Number(s)	Filing Date (MM/DD/YYYY)	<input type="checkbox"/> Additional provisional application numbers are listed on a supplemental priority data sheet PTO/SB/02B attached hereto.
60/209,411	06/01/00	

[Page 1 of 3]

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U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE  
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Direct all correspondence to:		<input checked="" type="checkbox"/> Customer Number or Bar Code Label		20350		OR <input type="checkbox"/> Correspondence address below	
Name		William B. Kezer					
Address							
Address							
City				State		ZIP	
Country			Telephone			Fax	
			925-472-5000			925-472-8895	
I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under 18 U.S.C. 1001 and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.							
NAME OF SOLE OR FIRST INVENTOR:				<input type="checkbox"/> A petition has been filed for this unsigned inventor			
Given Name		Glenn		Family Name or Surname		McGall	
Inventor's Signature						Date	
Residence: City		State		Country		Citizenship	
Mountain View		CA		USA		CANADA	
Mailing Address 750 No. Shoreline Blvd., #30							
Mailing Address							
City		State		ZIP		Country	
Mountain View		CA		94043		USA	
NAME OF SECOND INVENTOR:				<input type="checkbox"/> A petition has been filed for this unsigned inventor			
Given Name		Lisa		Family Name or Surname		Kajisa	
Inventor's Signature				Date		5/17/01	
Residence: City		State		Country		Citizenship	
San Jose		CA		USA		USA	
Mailing Address 4644 Doyle Court							
Mailing Address							
City		State		ZIP		Country	
San Jose		CA		95129		USA	
<input checked="" type="checkbox"/> Additional inventors are being named on the 1 supplemental Additional Inventor(s) sheet(s) PTO/SB/02A attached hereto.							

[Page 2 of 3]

SF 1222719 v1

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PTO/SB/02A (10-00)

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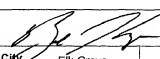


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**DECLARATION****ADDITIONAL INVENTOR(S)  
Supplemental Sheet**

<b>Name of Additional Joint Inventor, if any:</b>		<input type="checkbox"/> A petition has been filed for this unsigned inventor	
Given Name (first and middle [if any])		Family Name or Surname	
Bradley, A.		Kreger	
Inventor's Signature 		Date 5/30/01	
Residence: City	Elk Grove	State	CA
		Country	USA
Mailing Address 8506 Pinedrops Court			
Mailing Address			
City	Elk Grove	State	CA
		ZIP	95624
		Country	USA

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SF 1222719 v1

[Page 3 of 3]

09071610.060101

**STATEMENT UNDER 37 CFR 3.73(b)**

Applicant/Patent Owner: Glenn McGall, Lisa Kajisa and Bradley A. Keger

Application No./Patent No.: Unassigned

Filed/Issue Date: Herewith

Entitled: **NEW METHODS FOR ARRAY PREPARATION USING SUBSTRATE ROTATION**

AFFYMETRIX, INC.

a corporation

(Name of Assignee)

(Type of Assignee, e.g., corporation, partnership, university, government agency, etc.)

states that it is:

1. ☒ the assignee of the entire right, title, and interest, or
2. ☐ an assignee of an undivided part interest

in the patent application/patent identified above by virtue of either:

- A. ☒ An assignment from the inventor(s) of the patent application/patent identified above. The assignment was recorded in the Patent and Trademark Office at Reel \_\_\_\_\_, Frame \_\_\_\_\_, or for which a copy thereof is attached.

OR

- B. ☐ A chain of title from the inventor(s), of the patent application/patent identified above, to the current assignee as shown below:

1. From: \_\_\_\_\_ To: \_\_\_\_\_

The document was recorded in the United States Patent and Trademark Office at Reel \_\_\_\_\_, Frame \_\_\_\_\_, or for which a copy thereof is attached.

2. From: \_\_\_\_\_ To: \_\_\_\_\_

The document was recorded in the United States Patent and Trademark Office at Reel \_\_\_\_\_, Frame \_\_\_\_\_, or for which a copy thereof is attached.

3. From: \_\_\_\_\_ To: \_\_\_\_\_

The document was recorded in the United States Patent and Trademark Office at Reel \_\_\_\_\_, Frame \_\_\_\_\_, or for which a copy thereof is attached.

☐ Additional documents in the chain of title are listed on a supplemental sheet.☐ Copies of assignments or other documents in the chain of title are attached.

[NOTE: A separate copy (i.e., the original assignment document or a true copy of the original document) must be submitted to Assignment Division in accordance with 37 CFR Part 3, if the assignment is to be recorded in the records of the USPTO. See MPEP 302.8]

The undersigned (whose title is supplied below) is empowered to sign this statement on behalf of the Assignee.

Date

01/1/01

Signature

Vern Norviel

Typed or printed name

Sr. Vice President + General Counsel

Title



COPY

EXPRESS MAIL LABEL NO. EL 76975536 US

ASSIGNMENT OF PATENT APPLICATION

JOINT

WHEREAS, Glenn McCall, of 750 No. Shoreline Blvd., #30, Mountain View, CA 94043; Lisa Kajisa, of 4644 Doyle Court, San Jose, CA 95129; Bradley A. Kreger, of 8506 Pinedrops Court, Elk Grove, CA 95624; hereinafter referred to as "Assignors," are the inventors of the invention described and set forth in the below-identified application for United States Letters Patent:

Title of Invention: NEW METHODS FOR ARRAY PREPARATION USING  
SUBSTRATE ROTATION

Date(s) of execution of Declaration: 05/17/01, 05/17/01 and 05/30/01

Filing Date: Herewith

Application No.: Unassigned

WHEREAS, Affymetrix, Inc., a corporation of the state of Delaware, located at 3380 Central Expressway, Santa Clara, CA, 95051, hereinafter referred to as "ASSIGNEE," is desirous of acquiring an interest in the invention and application and in any U.S. Letters Patent and Registrations which may be granted on the same;

For good and valuable consideration, receipt of which is hereby acknowledged by Assignors, Assignors have assigned, and by these presents do assign to Assignee all right, title and interest in and to the invention and application and to all foreign counterparts (including patent, utility model and industrial designs), and in and to any Letters Patent and Registrations which may hereafter be granted on the same in the United States and all countries throughout the world, and to claim the priority from the application as provided by the Paris Convention. The right, title and interest is to be held and enjoyed by Assignee and Assignee's successors and assigns as fully and exclusively as it would have been held and enjoyed by Assignors had this Assignment not been made, for the full term of any Letters Patent and Registrations which may be granted thereon, or of any division, renewal, continuation in whole or in part, substitution, conversion, reissue, prolongation or extension thereof.

Assignors further agree that they will, without charge to Assignee, but at Assignee's expense, (a) cooperate with Assignee in the prosecution of U.S. Patent applications and foreign counterparts on the invention and any improvements, (b) execute, verify, acknowledge and deliver all such further papers, including patent applications and instruments of transfer, and (c) perform such other acts as Assignee lawfully may request to obtain or maintain Letters Patent and Registrations for the invention and improvements in any and all countries, and to vest title thereto in Assignee, or Assignee's successors and assigns.

Assignors hereby authorize and request Townsend and Townsend and Crew LLP, Two Embarcadero Center, 8<sup>th</sup> Floor, San Francisco, CA 94111-3834, to insert herein above the application number and filing date of said application when known.

— NOT FOR RECORDATION —

Dated:

5/24/01


  
Glenn McGall

(1) Date: \_\_\_\_\_

(2) Date: \_\_\_\_\_

Dated:

5/17/01

  
Lisa Kajisa

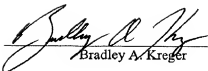
(1) Date: \_\_\_\_\_

(2) Date: \_\_\_\_\_

Signature of Witness 2

Assignment  
Attorney Docket No.: 18547-042110US  
Page 3

Dated: 5/30/01

  
\_\_\_\_\_  
Bradley A. Kregler

SIGNATURE WITNESSED BY:

(1) Date: \_\_\_\_\_

\_\_\_\_\_  
Signature of Witness 1

(2) Date: \_\_\_\_\_

\_\_\_\_\_  
Signature of Witness 2

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